

### General information about company

Scrip code	526117
NSE Symbol	
MSEI Symbol	
ISIN	INE011D01013
Name of the entity	Shervani Industrial Syndicate Limited
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Yearly
Date of Report	31-03-2019
Risk management committee	Not Applicable

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory												Textual Information(1)			
Wether the listed entity has a Regular Chairperson												Yes			
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	DR. ASHUTOSH PRATAP SINGH	AFMPS0342G	05354321	Non-Executive - Independent Director	Chairperson		14-08-2012		80	1	2	0		
2	Mr	SALEEM IQBAL SHERVANI	AJBPS3979G	00023909	Executive Director	Not Applicable	MD	03-04-2018		12	1	0	0		
3	Mr	TAHIR HASAN	AAJPH5011P	00074282	Executive Director	Not Applicable		14-08-2014		56	2	0	0		
4	Mr	AZHAR NISAR SHERVANI	AAPPS0071A	00424635	Non-Executive - Non Independent Director	Not Applicable		31-10-2000		222	1	0	0		

## Annexure I to be submitted by listed entity on quarterly basis

### I. Composition of Board of Directors

#### Disclosure of notes on composition of board of directors explanatory

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	SAEED MUSTAFA SHERVANI	AAGPS2216Q	00024390	Non-Executive - Non Independent Director	Not Applicable		09-01-1986		399	1	0	0		
6	Mr	RAJU VERGHESE	AAGPV5010P	01086812	Non-Executive - Non Independent Director	Not Applicable		27-01-2007		141	1	0	0		
7	Mr	KUSH BHARGAVA	AAVPB2713L	00074004	Non-Executive - Independent Director	Not Applicable		11-07-1981		452	1	0	0		
8	Mr	GOPAL SWARUP CHATURVEDI	ABMPC3247J	00148434	Non-Executive - Independent Director	Not Applicable		12-01-2000		229	1	2	0		

## Annexure I to be submitted by listed entity on quarterly basis

### I. Composition of Board of Directors

#### Disclosure of notes on composition of board of directors explanatory

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Mr	MOHAMMAD ASLAM SAYEED	ACIPS8075P	06652348	Non-Executive - Independent Director	Not Applicable		06-08-2013		68	1	0	2		
10	Mrs	SHEFALI BANSAL	ADHPB7090F	07090579	Non-Executive - Non Independent Director	Not Applicable		09-02-2015		50	1	0	0		

### Text Block

Textual Information(1)

Dr. Ashutosh Pratap Singh, Mr. Kush Bhargava, Mr. Gopal Swarup Chaturvedi and Mr. Mohammad Aslam Sayeed were appointed as Independent Directors of the Company for a period of 5 years in Annual General Meeting held on 30.09.2014 as per provisions of the Companies Act, 2013. However, Dr. Ashutosh Pratap Singh has appointed on the Board on 14.08.2012, Mr. Kush Bhargava on 11.07.1981, Mr. Gopal Swarup Chaturvedi on 12.01.2000 and Mr. Mohammad Aslam Sayeed on 06.08.2013.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06652348	MR. MOHAMMAD ASLAM SAYEED	Non-Executive - Independent Director	Chairperson	06-08-2013		
2	00148434	MR. GOPAL SWARUP CHATURVEDI	Non-Executive - Independent Director	Member	06-08-2013		
3	05354321	DR. ASHUTOSH PRATAP SINGH	Non-Executive - Independent Director	Member	14-08-2012		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00148434	MR. GOPAL SWARUP CHATURVEDI	Non-Executive - Independent Director	Chairperson	02-01-2003		
2	05354321	DR. ASHUTOSH PRATAP SINGH	Non-Executive - Independent Director	Member	14-08-2012		
3	06652348	MR. MOHAMMAD ASLAM SAYEED	Non-Executive - Independent Director	Member	06-08-2013		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06652348	MR. MOHAMMAD ASLAM SAYEED	Non-Executive - Independent Director	Chairperson	14-05-2015		
2	00148434	MR. GOPAL SWARUP CHATURVEDI	Non-Executive - Independent Director	Member	14-05-2015		
3	05354321	DR. ASHUTOSH PRATAP SINGH	Non-Executive - Independent Director	Member	14-05-2015		



Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06652348	MR. MOHAMMAD ASLAM SAYEED	Non-Executive - Independent Director	Chairperson	30-05-2017		
2	00023909	Mr. SALEEM IQBAL SHERVANI	Executive Director	Member	30-05-2017		
3	00074282	MR. TAHIR HASAN	Executive Director	Member	30-05-2017		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

## Annexure 1

### Annexure 1

#### III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory				
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date
1	14-11-2018			
2		30-01-2019	76	

## Annexure 1

### IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee	Reason for not providing date
1	Audit Committee	30-01-2019	Yes		14-11-2018	76		
2	Corporate Social Responsibility Committee	08-01-2019	Yes		14-11-2018	54		
3	Corporate Social Responsibility Committee	30-01-2019	Yes					Last Meeting in previous quarter was held on 14th November 2018.
4	Corporate Social Responsibility Committee	22-02-2019	Yes					Last Meeting in previous quarter was held on 14th November 2018.
5	Corporate Social Responsibility Committee	26-03-2019	Yes					Last Meeting in previous quarter was held on 14th November 2018.
6	Stakeholders Relationship Committee	30-01-2019	Yes		29-12-2018	31		

## Annexure 1

### IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee	Reason for not providing date
7	Stakeholders Relationship Committee	22-02-2019	Yes					Last Meeting in previous quarter was held on 29th December 2018.
8	Stakeholders Relationship Committee	20-03-2019	Yes					Last Meeting in previous quarter was held on 29th December 2018.

## Annexure 1

### V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

## Annexure 1

### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



### Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	S. K. Shukla
2	Designation	Company Secretary and Compliance Officer

## Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

### I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.shervaniind.com
2	Terms and conditions of appointment of independent directors	Yes		www.shervaniind.com
3	Composition of various committees of board of directors	Yes		www.shervaniind.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.shervaniind.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.shervaniind.com
6	Criteria of making payments to non-executive directors	Yes		www.shervaniind.com
7	Policy on dealing with related party transactions	Yes		www.shervaniind.com
8	Policy for determining 'material' subsidiaries	Yes		www.shervaniind.com
9	Details of familiarization programmes imparted to independent directors	Yes		www.shervaniind.com

## Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

### I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.shervaniind.com
11	email address for grievance redressal and other relevant details	Yes		www.shervaniind.com
12	Financial results	Yes		www.shervaniind.com
13	Shareholding pattern	Yes		www.shervaniind.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	Yes		www.shervaniind.com

## Annexure II

### II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	

## Annexure II

### II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3), (4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6), (7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA	
20	Approval for material related party transactions	23(4)	NA	

## Annexure II

### II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3), (4),(5) & (6)	Yes	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

**Annexure II**

1	Name of signatory	S. K. Shukla
2	Designation	Company Secretary and Compliance Officer

## Annexure II

### III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	



**Annexure II**

1	Name of signatory	S. K. Shukla
2	Designation	Company Secretary and Compliance Officer

### Signatory Details

Name of signatory	S. K. Shukla
Designation of person	Company Secretary and Compliance Officer
Place	Prayagraj
Date	13-04-2019

